PTO/SB/21 (09-06)

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	Application Number	10/587,479	)	•			
OPE TRANSMITTAL	Filing Date	July 26, 20	July 26, 2006				
FORM	First Named Inventor	Janet Bogg	js				
- FB 0 5 7001 B	Art Unit	Unassigne	d				
<b></b>	Examiner Name	Unknown		. <u></u>			
(to be used for correspondence after initial filing)	Attorney Docket Number	PC3-309					
Total dimer of Pages in This Submission		1					
E	NCLOSURES (Check all	that apply	)				
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation		of App Appea (Appea	Il Communication to Board leals and Interferences Il Communication to TC Il Notice, Brief, Reply Brief) etary Information			
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Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 How	FEE IS REQUIRED WITH THIS In the second of t		please charge s	uch fee to			
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Firm Name Wells St. John P.S. Signature							
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Printed name Robert Q. Hyta							
Date 1/31/07		Reg. No.	46,791				
CERT  I hereby certify that this correspondence is being sufficient postage as first class mail in an envelop the date shown below:		O or depos	ited with the Un				
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### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No	10/587,479
Filing Date	
Inventor	•
Assignee	
Group Art Unit	Unassigned
Examiner	Unknown
Attorney's Docket No	PC3-309
Customer No	021567
Title: Production Processes and Systems, ( Metal Complexes, Phosphate Esters, Gl Stabilizers	Compositions, Surfactants, Monomer Units, ycols, Aqueous Film Forming Foams, and Foam

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

The attached Form PTO-1449 is submitted In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the references listed on the attached Form PTO-1449. Pursuant to Federal Register Vol. 69, No. 182, pg. 56542 (September 21, 2004), no copies of any cited U.S. patents or U.S. published applications are included herewith. Copies of all other cited art references are attached. No admission is made regarding whether the submitted references are prior art.

This Information Disclosure Statement is being filed before receipt of the first examination on the merits. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No. 23-0925.

Citation of these references is respectfully requested.

Respectfully submitted,

Robert C. Hyta Reg. No. 46,791

# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. PC3-309

APPLICANT: Janet Boggs et al.

SERIAL NO. 10/587,479

LIST OF ART CITED BY APPLICANT FFR () 5 (1086 several sheets if necessary)

FILING DATE

July 26, 2006

GROUP Unknown

#### **U.S. PATENT DOCUMENTS**

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	АН	3,112,241	11/63	Mackenzie				
	Al	3,145,222	08/64	Brace				
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		Number					Yes	No
	AM	EP 765676	04/97	Europe (abstract)			Х	
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	AO	DE 2239709	02/73	Germany (abstract)			Х	

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Sawada, Hideo: "Novel Self-Assembled Molecular Aggregates Formed by Fluoroalkyl End-Capped Oligomers and Their Application" *Journal of Fluorine Chemistry 121* (2003), pgs. 111-130

**EXAMINER** 

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-	АВ	3,282,905	11/66	Fasick et al.					
	AC	3,304,278	02/67	Hauptschein et a	1.				
	AD	3,351,643	11/67	Hauptschein et a	<b>I.</b>	·			
	AE	3,351,644	11/67	Hauptschein et a	l.				
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	АМ	DE 2247182	04/74	Germany (abstra	ct)			х	
	AN	GB 2 070 595	02/80	United Kingdom				х	
	AO	GB 2 018 759	04/78	United Kingdom				х	
	АР	JP 04272986	09/92	Japan (abstract)				х	
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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-309 10/587,479 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) FILING DATE GROUP Unknown July 26, 2006 **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Class Subclass Name Number Initial If Appropriate AΑ 3,514,420 05/70 Katsushima et al. AB 3,532,659 10/70 Hager et al. AC 10/70 Groves 3,536,749 12/70 ΑD 3,544,663 Hauptschein et al. 3,574,518 04/71 ΑE Detomaso ΑF 3,575,940 04/71 Katsushima et al. 11/71 AG 3,623,963 Voss et al. 01/72 AΗ 3,636,085 Kleiner 03/73 ΑI 3,721,706 Hoffman et al. ΑJ 3,752,783 08/73 **Iwantani** ΑK 3,759,981 09/73 Hager et al. 06/74 AL 3,816,277 Haszeldine et al. FOREIGN PATENT DOCUMENTS Translation Document Date Class Subclass Country Number Yes No X AM JP 04272987 09/92 Japan (abstract) ΑN JP 43020466 09/68 Japan (abstract) X AO 01/69 X JP 44001216 Japan (abstract) ΑP JP 48023684 03/73 Japan (abstract) X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Krafft, et al.; "Highly fluorinated amphiphiles and colloidal systems...."; AQ Biochimie 1998; 1 page; (Database 1998:620482 CAPLUS) Nivet et al; "Synthesis and bioacceptability of fluorinated..."; European Journal AR of Medicinal Chemistry, 1992; 1 page; (Database 1993:408334 CAPLUS) **EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-309 10/587,479 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) **FILING DATE** GROUP July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass Initial Number If Appropriate AΑ 3,824,126 07/74 Katsushima et al. AB 3,839,425 10/74 **Bartlett** 3.883.596 05/75 Hager et al. 08/75 AD 3,899,484 Walter ΑĒ 3,906,049 09/75 Hager et al. ΑF 3,907,576 09/75 Dear et al. 11/75 AG 3,919,361 Katsushima et al. AΗ 3,933,819 01/76 Toukan et al. Chiesa, Jr. ΑI 3,957,657 05/76 ΑJ 4,000,188 12/76 Dear et al. ΑK 4,043,923 08/77 Loudas ΑL FOREIGN PATENT DOCUMENTS Translation Document Date Class Subclass Country Number Yes No ΑM JP 49040040 10/74 Japan (abstract) X ΑN JP 53081799 07/78 Japan (abstract) X AO JP 55071779 05/80 Japan (abstract) X ΑP JP 56122336 09/81 Japan (abstract) X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Sawada et al.; "Synthesis and properties of...."; European Polymer Journal, AQ 1999, 1 page; (Database 1999:411635 CAPLUS) Nivet et al.; "Evaluation of a series of...."; Journal of Dispersion Science and AR Technology, 1992; 1 page; (Database 1993:66807 CAPLUS) **EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

### U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. PC3-309

SERIAL NO. 10/587,479

LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)

APPLICANT: Janet Boggs et al.

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Examiner Initial		Document Number	Date	Name		Class	Subclass	Filing If Appr	Date opriate
	АА	4,060,132	11/77	Chiesa Jr.					
	АВ	4,060,489	11/77	Chiesa Jr.					
	AC	4,081,399	03/78	Dear et al.					
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_	AE	4,113,748	09/78	Hager et al.					
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			FOREIG	GN PATENT DOCU	MENTS				
-		Document Number	Date	Country		Class	Subclass	Trans Yes	lation No
	АМ	JP 56169666	12/81	Japan (abstract)				х	
	AN	JP 58042682	03/83	Japan (abstract)				х	
	АО	JP 58201752	11/83	Japan (abstract)				Х	
	АР	JP 59059977	04/84	Japan (abstract)				Х	
		OTHER REFER	RENCES (i	ncluding Author, Title,	Date, Pe	rtinent Paç	ges, Etc.)		
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conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 6 of 13 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-309 10/587,479 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Class Subclass Name Number Initial If Appropriate AΑ 4,188,307 02/80 **Bathelt** AB 4,192,754 03/80 Marshall et al. AC 4.209.456 06/80 Billenstein et al. ΑD 4,230,495 10/80 Lee et al. ΑE 4,254,266 03/81 Toukan et al. ΑF 4,283,533 08/81 Richter 12/81 AG 4,303,534 Hisamoto et al. AΗ 4,306,979 12/81 Tsuji ΑI 4,317,859 03/82 Smith ΑJ 4,351,946 09/82 Toukan et al. ΑK 4,366,299 12/82 Dessaint 4,387,032 06/83 ΑL Chiesa, Jr. FOREIGN PATENT DOCUMENTS Translation Document Subclass Date Class Country Number Yes No ΑM JP 61069813 04/86 Japan (abstract) X ΑN JP 08012536 01/96 Japan (abstract) X ΑO JP 08081883 03/96 Japan (abstract) X AΡ JP 2000126327 05/00 Japan (abstract) X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Enjalbert et al.; "Synthesis of new cationic and amphoteric triple-chain...."; AQ Research on Chemical Intermediates, 1998; 1 page; (Database 1998:422963 CAPLUS) **EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

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conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE SERIAL NO. ATTY, DOCKET NO. PATENT AND TRADEMARK OFFICE PC3-309 10/587,479 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass Number If Appropriate Initial AΑ 4,388,212 06/83 Richter AΒ 4,419,298 12/83 Falk et al. AC 4.420.434 12/83 Falk 01/84 ΑD 4,424,133 Mulligan ΑE 4,460,480 07/84 Kleiner et al. ΑF 4,464,267 08/84 Chiesa, Jr. et al. 09/84 AG 4,472,286 Falk 10/84 AH 4,478,975 Dessaint et al. 12/84 ΑI 4,486,391 Hashimoto FOREIGN PATENT DOCUMENTS Translation Document Date Country Class Subclass Number Yes No 06/00 X ΑJ JP 2000159840 Japan (abstract) ΑK 11/03 X JP 2003312156 Japan (abstract) US2005/003429 01/05 PCT-IPRP/Written AL X Opinion AM US05/03429 01/05 Х PCT-Int'l Search Rpt US2005/002617 01/05 PCT-IPRP/Written AN Χ Opinion ΑO US05/02617 01/05 PCT-Int'l Search Rpt X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Szonyi et al.; "Synthesis of new amphoteric ... double-chain...."; Rivista Italiana AΡ delle Sostanze Grasse, 1998; 1 page; (Database 1998:465664 CAPLUS) Prescher et al.; "Nitrogen-containing fluorosurfactants:"; Tenside, Surfactants, AQ Detergents, 1992; 1 page; (Database 1992:653923 CAPLUS) **EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-309 10/587,479 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass Number If Appropriate Initial 4,507,859 04/85 Shinjo AΑ AB 4,563,287 01/86 Hisamoto et al. AC 4,591,473 05/86 Lofquist et al. ΑD 4,600,774 07/86 Koshar 09/87 DesMarteau ΑE 4,697,011 ΑF 4,717,744 01/88 Boutevin et al. 01/88 AG 4,720,578 Liu 07/88 AΗ 4,760,205 Probst et al. Αl 4,833,274 05/89 Caporiccio et al. ΑJ 4.879,324 11/89 Lausberg et al. FOREIGN PATENT DOCUMENTS Translation Document Date Class Subclass Country Number Yes No PCT-IPRP/Written US2005/003433 01/05 ΑK X **Opinion** AL US05/03433 01/05 **PCT-Int'l Search Rpt** X US2005/003137 01/05 **PCT-IPRP/Written** ΑM X Opinion AN US05/03137 01/05 PCT-Int'l Search Rpt X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Enjalbert et al.; "Synthesis fo new double-chain cationic...."; Tenside, ΑO Surfactants, Detergents, 1998; 1 page; (Database 1992:550675 CAPLUS) Rzhevskii et al.; "Testing of \*\*\*surfactants\*\*\*..."; Tsvetnye Metally (Moscow), ΑP 1998; 1 page; (Database 1999:114673 CAPLUS) Murata et al.; "Selective synthesis of fluorinated ethers...."; The Royal Society AQ of Chemistry, 2002; pps. 60-63 **EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 SERIAL NO. U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE PC3-309 10/587,479 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) **FILING DATE GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass Initial Number If Appropriate AΑ 4,985,526 01/91 Kishita et al. AB 4,983,769 01/91 Bertocchio et al. AC 4,898,981 02/90 Falk et al. 06/91 AD 5,026,910 Bollens et al. ΑE 5.045,634 09/91 Fernandez et al. AF 5,091,550 02/92 Falk et al. AG 5,107,021 04/92 Bollens et al. 5,132,445 07/92 Falk et al. ΑI 06/93 Clark et al. 5,218,021 5,240,990 08/93 ΑJ Kallfass et al. ΑK 5,310,870 05/94 Peavy 02/95 AL 5,391,721 Hanen et al. **FOREIGN PATENT DOCUMENTS** Translation Document Date Class Country Subclass Number Yes No US2005/003138 **PCT-IPRP/Written** 01/05 AM Х Opinion ΑN US05/03138 01/05 PCT-Int'l Search Rpt X ΑO 7-793 07/95 Japan X ΑP 2-12681 02/90 Japan X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) AQ **EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AI	5,629,372	05/97	Anton et al.				
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	AL	5,648,528	07/97	Prossel et al.				
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	АМ	WO 94/18245	08/94	PCT			x	
	AN	WO 98/19742	05/98	PCT			х	
	АО	WO 01/27235 A1	04/01	PCT			x	
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Sheet 11 of 13 ATTY. DOCKET NO. Form PTO-1449 U.S. DEPARTMENT OF COMMERCE SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-309 10/587,479 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass Initial Number If Appropriate AΑ 5,674,961 10/97 **Fitzgerald** AΒ 5,798,415 08/98 Corpart et al. AC 5,827,919 10/98 May 12/98 Pavia et al. AD 5,847,206 ΑE 5,847,243 12/98 Skiya et al. ΑF 5,883,185 03/99 Matsumura et al. AG 5,919,527 07/99 Fitzgerald et al. 5,965,656 10/99 Yamamoto et al. ΑI 6,002,002 12/99 Ürögdi et al. 01/00 Stern et al. ΑJ 6,015,838 ΑK 6,031,141 02/00 Mallikarjuna et al. 09/00 ΑL 6,120,892 Fitzgerald et al. **FOREIGN PATENT DOCUMENTS** Translation Class Subclass Yes No AM AN AO ΑP

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

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	AC	6,235,951	05/01	Sakyu et al.			
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	AG	6,379,578 B1	04/02	Shiga			
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	AI	6,486,245 B1	11/02	Thünemann et al.			
	AJ	6,451,717 B1	09/02	Fitzgerald et al.			
	AK	6,509,300 B1	01/03	Gupta			
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	AS	6,803,425 B2	10/04	Hintzer et al.			
	AT						

**EXAMINER** 

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# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. PC3-309

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LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)

APPLICANT: Janet Boggs et al.

FILING DATE July 26, 2006 ART GROUP Unknown

*Examiner's		Document	Date	Name	Class	Subclass	Filing Date
Initials		Number	Date	Name	Class	Subclass	If Appropriate
	АА	6,809,216 B2	10/04	Bradley et al.			
	АВ	6,814,880 B1	11/04	Graf et al.			
	AC	6,818,253 B2	11/04	Kimbrell			
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, <del>-</del>	AE	6,821,496 B2	11/04	lgumnov et al.			
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	АН	6,830,703 B2	12/04	Klug et al.			
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	АМ	2003/0092862	05/03	Thomas et al.			
	AN	2003/0109662	06/03	Medsker et al.			
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	АР	2003/0195314	08/03	Buckanin et al.			
	AQ	2003/0207202	11/03	Fujita et al.			

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